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First-Order Logic

Chapter 8

Last chapter

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Logical agents apply **inference** to a **knowledge base**
to derive new information and make decisions

Basic concepts of logic:

- **syntax** (语法) : formal structure of **sentences**
- **semantics** (语义) : truth of sentences wrt **models**
- **entailment** (蕴涵) : necessary truth of one sentence given another
- **inference** (推理) : deriving sentences from other sentences
- **soundness** (可靠性) : derivations produce only entailed sentences
- **completeness** (完备性) : derivations can produce all entailed sentences

Forward, backward chaining are linear-time, complete for Horn clauses
Resolution is complete for propositional logic

Propositional logic lacks expressive power

Outline

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- Why FOL?
- Syntax and semantics of FOL
- Using FOL
- Knowledge engineering (知识工程) in FOL

Pros (优点) of propositional logic

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- ☺ **Propositional logic is declarative (陈述性的)**
 - ▣ 知识和推理分开，而且推理完全不依赖于领域
 - ▣ 对比：程序设计语言——过程性语言
 - 缺乏从其它事实派生出事实的通用机制
 - 对数据结构的更新通过一个领域特定的过程来完成

- ☺ **Propositional logic allows partial (不完全) /disjunctive (分离的) /negated information**
 - ▣ (unlike most data structures and databases)

- ☺ **Propositional logic is compositional (合成性的) :**
 - ▣ meaning of $B_{1,1} \wedge P_{1,2}$ is derived from meaning of $B_{1,1}$ and of $P_{1,2}$
(语句的含义是它的各部分含义的一个函数)

- ☺ **Meaning in propositional logic is context-independent**
 - ▣ (unlike natural language, where meaning depends on context)

Cons (缺点) of propositional logic

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☹️ Propositional logic has very limited expressive power

- ▣ (unlike natural language)
- ▣ E.g., cannot say "pits cause breezes in adjacent squares"
except by writing one sentence for each square

$$B_{1,1} \Leftrightarrow (P_{1,2} \vee P_{2,1})$$

Cons (缺点) of propositional logic

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- All students know arithmetic.
 - `AlicelsStudent` \rightarrow `AliceKnowsArithmetic`
 - `BoblsStudent` \rightarrow `BobKnowsArithmetic`
 - ...
- Propositional logic is very clunky. What's missing?
 - **Objects and relations**: propositions (e.g., `AliceKnowsArithmetic`) have more internal structure (alice, Knows, arithmetic)
 - **Quantifiers and variables**: all is a quantifier which applies to each person, don't want to enumerate them all...

First-order logic

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采用命题逻辑的基础——陈述式、上下文无关和合成语义，并借用自然语言的思想。

Whereas propositional logic assumes the world contains **facts**, first-order logic (like natural language) assumes the world contains

- ▣ **Objects** (对象) : people, houses, numbers, colors, baseball games, wars, ...
- ▣ **Relations** (关系) : red, round, prime...,
brother of, bigger than, part of, comes between, ...
- ▣ **Functions** (函数) : father of, best friend, one more than, plus, ...

谓词用来描述个体（可以独立存在的事物）之间的关系或属性

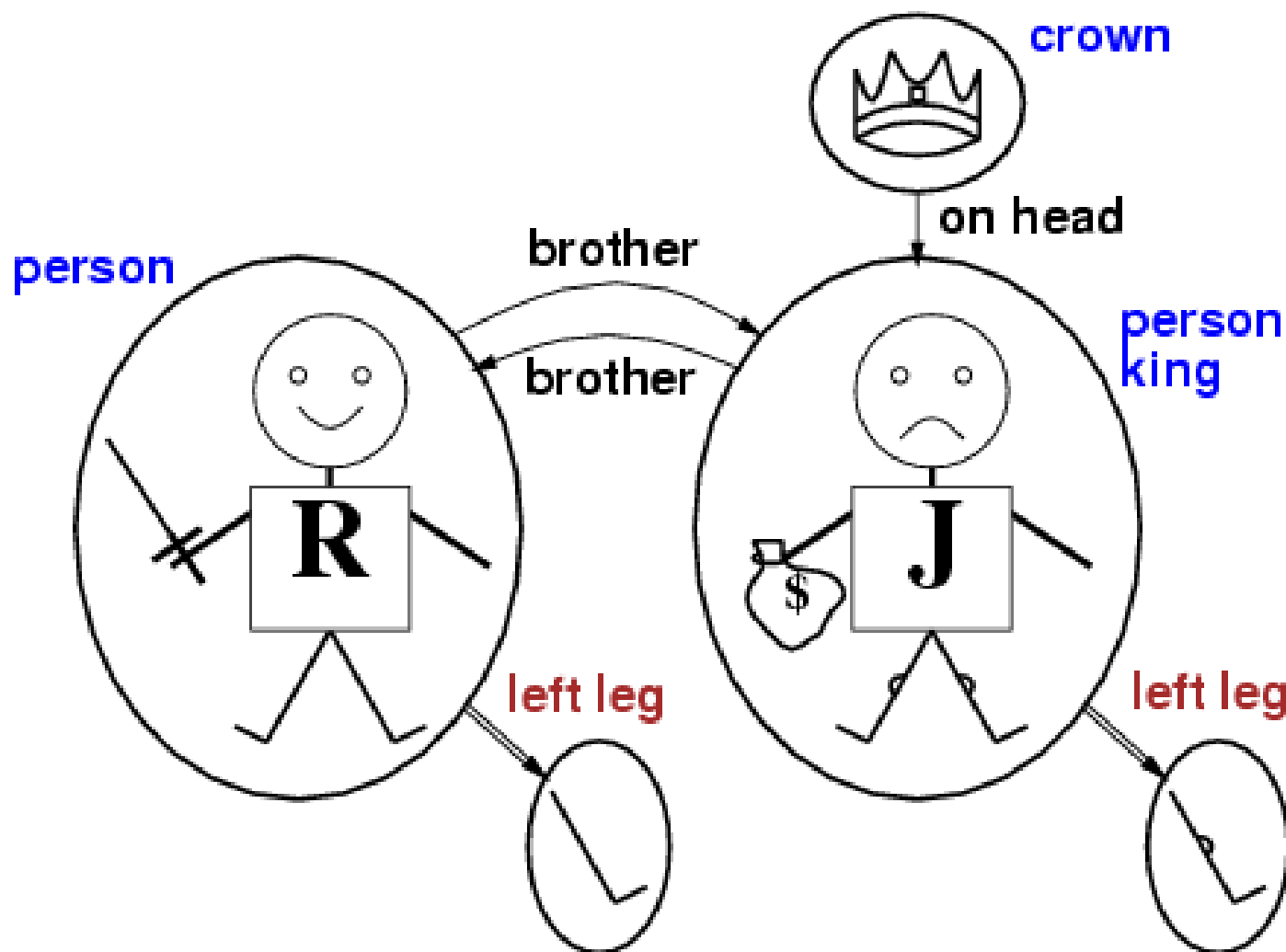
Logics in general

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语言	本体论约定 (世界中存在的)	认识论约定 (智能体对事实所相信的内容)
命题逻辑 Propositional logic	事实	真/假/未知
一阶逻辑 First-order logic	事实、对象、关系	真/假/未知
时序逻辑 Temporal logic	事实、对象、关系、时间	真/假/未知
概率逻辑 Probability theory	事实	信度 $\in [0,1]$

一阶逻辑的模型: Example

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Syntax of FOL: Basic elements

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- Constants/常量 KingJohn, 2, USTC,...
- Predicates/谓词 Brother, >,...
- Functions/函数 Sqrt, LeftLegOf,...
- Variables/变量 x, y, a, b, \dots
- Connectives/连接词 $\neg, \Rightarrow, \wedge, \vee, \Leftrightarrow$
- Equality/等词 =
- Quantifiers/量词 \forall, \exists

Atomic sentences (原子语句)

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Term = *function* ($term_1, \dots, term_n$)
or *constant* or *variable*

Atomic sentence = *predicate* ($term_1, \dots, term_n$)
or $term_1 = term_2$

- E.g., *Brother*(KingJohn, RichardTheLionheart)
> (*Length*(LeftLegOf(Richard)), *Length*(LeftLegOf(KingJohn)))

Complex sentences (复合语句)

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Complex sentences are made from atomic sentences using connectives

$$\neg S, S_1 \wedge S_2, S_1 \vee S_2, S_1 \Rightarrow S_2, S_1 \Leftrightarrow S_2,$$

E.g. $Sibling(KingJohn, Richard) \Rightarrow Sibling(Richard, KingJohn)$

$$>(1,2) \vee \leq (1,2)$$

$$>(1,2) \wedge \neg >(1,2)$$

Truth in first-order logic

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- 语句的真值由一个模型和对句子符号的解释来判定。
Sentences are true with respect to a **model** and an **interpretation**
- **Model** contains objects (**domain elements**域元素) and relations among them
- 我们需要一个对分别被常量、谓词和函数符号指代的对象、关系和函数进行详细解释的解释
Interpretation specifies referents (指代) for
 - constant symbols** → **objects**
 - predicate symbols** → **relations**
 - function symbols** → **functional relations**
- An atomic sentence $\text{predicate}(term_1, \dots, term_n)$ is true iff the **objects** referred to by $term_1, \dots, term_n$ are in the **relation** referred to by predicate

Truth example

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Consider the interpretation in which

Richard \rightarrow Richard the Lionheart

John \rightarrow the evil King John

Brother \rightarrow the brotherhood relation

Under this interpretation, **Brother(Richard, John)** is true just in case **Richard the Lionheart** and **the evil King John** are in the brotherhood relation in the model

Models for FOL: Lots!

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Entailment (蕴涵) in propositional logic (命题逻辑) can be computed by enumerating (枚举) models

We can enumerate the FOL models for a given KB vocabulary:

For each number of domain elements n from 1 to ∞

For each k -ary predicate (k 元谓词) P_k in the vocabulary

For each possible k -ary relation on n objects

For each constant symbol C in the vocabulary

For each choice of referent for C from n objects ...

Computing entailment by enumerating FOL models is not easy!

通过枚举所有可能模型以检验“语义后承”在一阶逻辑中不可行

Universal quantification (全称量词)

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$\forall <variables> <sentence>$

“对于所有的……”

Everyone at USTC is smart:

$\forall x \text{ At}(x, \text{USTC}) \Rightarrow \text{Smart}(x)$

$\forall x$ P is true in a model m iff P is true with x being **each** possible object in the model

Roughly speaking, equivalent to the **conjunction of instantiations** (实例的合取式) of P

$\text{At}(\text{KingJohn}, \text{USTC}) \Rightarrow \text{Smart}(\text{KingJohn})$
 \wedge $\text{At}(\text{Richard}, \text{USTC}) \Rightarrow \text{Smart}(\text{Richard})$
 \wedge $\text{At}(\text{USTC}, \text{USTC}) \Rightarrow \text{Smart}(\text{USTC})$
 $\wedge \dots$

A common mistake to avoid

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Typically, \Rightarrow is the main connective with \forall

在需要用全称量词书写一般规则的时候， \Rightarrow 的真值表项是一个理想的选择

Common mistake: using \wedge as the main connective with \forall :

$$\forall x \text{ At}(x, \text{USTC}) \wedge \text{Smart}(x)$$

means “Everyone is at USTC and everyone is smart”

Existential quantification (存在量词)

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\exists <variables> <sentence>

“存在一个……，这样以致” 或 “对于某个……”

Someone at USTC is smart:

$\exists x \text{ At}(x, \text{USTC}) \wedge \text{Smart}(x)$

$\exists x P$ is true in a model m iff P is true with x being **some** possible object in the model

Roughly speaking, equivalent to the **disjunction of instantiations** (实例的析取式) of P

$\text{At}(\text{KingJohn}, \text{USTC}) \wedge \text{Smart}(\text{KingJohn})$

✓ $\text{At}(\text{Richard}, \text{USTC}) \wedge \text{Smart}(\text{Richard})$

✓ $\text{At}(\text{USTC}, \text{USTC}) \wedge \text{Smart}(\text{USTC})$

✓ ...

Another common mistake to avoid

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Typically, \wedge is the main connective with \exists

Common mistake: using \Rightarrow as the main connective with \exists :

$$\exists x \text{ At}(x, \text{USTC}) \Rightarrow \text{Smart}(x)$$

is true if there is anyone who is not at USTC!

Properties of quantifiers

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$\forall x \forall y$ is the same as $\forall y \forall x$

$\exists x \exists y$ is the same as $\exists y \exists x$

$\exists x \forall y$ is **not** the same as $\forall y \exists x$

$\exists x \forall y \text{ Loves}(x,y)$

- “There is a person who loves everyone in the world”

$\forall y \exists x \text{ Loves}(x,y)$

- “Everyone in the world is loved by at least one person”

Quantifier duality (量词的二义性) : each can be expressed using the other

$\forall x \text{ Likes}(x, \text{IceCream})$

$\neg \exists x \neg \text{Likes}(x, \text{IceCream})$

$\exists x \text{ Likes}(x, \text{Broccoli})$

$\neg \forall x \neg \text{Likes}(x, \text{Broccoli})$

Equality (等式)

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$term_1 = term_2$ is true under a given interpretation if and only if $term_1$ and $term_2$ refer to the same object (指代的对象是相同的)

E.g., definition of *Sibling* in terms of *Parent*:

$$\forall x,y \text{ Sibling}(x,y) \Leftrightarrow [\neg(x = y) \wedge \exists m,f \neg (m = f) \wedge \text{Parent}(m,x) \wedge \text{Parent}(f,x) \wedge \text{Parent}(m,y) \wedge \text{Parent}(f,y)]$$

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Using FOL

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The kinship (亲属关系) domain:

Brothers are siblings

$\forall x, y \text{ Brother}(x, y) \Rightarrow \text{Sibling}(x, y).$

“Sibling” is symmetric

$\forall x, y \text{ Sibling}(x, y) \Leftrightarrow \text{Sibling}(y, x).$

One's mother is one's female parent

$\forall x, y \text{ Mother}(x, y) \Leftrightarrow (\text{Female}(x) \wedge \text{Parent}(x, y)).$

A cousin is a child of a parent's sibling

$\forall x, y \text{ Cousin}(x, y) \Leftrightarrow \exists p, ps \text{ Parent}(p, x) \wedge \text{Sibling}(ps, p) \wedge \text{Parent}(ps, y)$

Using FOL

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The set (集合) domain:

集合就是空集或通过将一些元素添加到一个集合而构成

$$\forall s \text{ Set}(s) \Leftrightarrow (s = \{\}) \vee (\exists x, s_2 \text{ Set}(s_2) \wedge s = \{x | s_2\})$$

空集没有任何元素，也就是说，空集无法再分解为更小的集合和元素

$$\neg \exists x, s \{x | s\} = \{\}$$

将已经存在于集合中的元素添加到该集合，无任何变化

$$\forall x, s \ x \in s \Leftrightarrow s = \{x | s\}$$

集合的元素仅是那些被添加到集合中的元素

$$\forall x, s \ x \in s \Leftrightarrow [\exists y, s_2 (s = \{y | s_2\} \wedge (x = y \vee x \in s_2))]$$

Using FOL

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The set (集合) domain:

一个集合是另一个集合的子集，当且仅当第一个集合的所有元素都是第二个集合的元素

$$\forall s_1, s_2 \quad s_1 \subseteq s_2 \Leftrightarrow (\forall x \quad x \in s_1 \Rightarrow x \in s_2)$$

两个集合是相同的，当且仅当它们互为子集

$$\forall s_1, s_2 \quad (s_1 = s_2) \Leftrightarrow (s_1 \subseteq s_2 \wedge s_2 \subseteq s_1)$$

一个对象是两个集合的交集的元素，当且仅当它同时是这两个集合的元素

$$\forall x, s_1, s_2 \quad x \in (s_1 \cap s_2) \Leftrightarrow (x \in s_1 \wedge x \in s_2)$$

一个对象是两个集合的并集的元素，当且仅当它是其中某一集合的元素

$$\forall x, s_1, s_2 \quad x \in (s_1 \cup s_2) \Leftrightarrow (x \in s_1 \vee x \in s_2)$$

Interacting with FOL KBs

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Suppose a wumpus-world agent is using an FOL KB and perceives a smell and a breeze (but no glitter) at $t=5$:

`Tell(KB,Percept([Smell,Breeze,None],5))`

`Ask(KB,∃a BestAction(a,5))`

I.e., does the KB entail some best action at $t=5$?

Answer: Yes, $\{a/Shoot\}$ ← substitution (binding list 绑定表)

Given a sentence S and a substitution σ ,

$S\sigma$ denotes the result of plugging σ into S ; e.g.,

$S = \text{Smarter}(x,y)$

$\sigma = \{x/Hillary,y/Bill\}$

$S\sigma = \text{Smarter}(Hillary,Bill)$

`Ask(KB,S)` returns some/all σ such that $KB \models S\sigma$

Knowledge base for the wumpus world

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Perception (感知)

- ▣ $\forall t,s,b \text{ Percept}([s,b,\text{Glitter}],t) \Rightarrow \text{Glitter}(t)$

Reflex

- ▣ $\forall t \text{ Glitter}(t) \Rightarrow \text{BestAction}(\text{Grab},t)$

Reflex with internal state: do we have the gold already?

- $\forall t \text{ AtGold}(t) \wedge \neg \text{Holding}(\text{Gold}, t) \Rightarrow \text{BestAction}(\text{Grab}, t)$

Holding(Gold, t) cannot be observed \Rightarrow keeping track of change is essential

Deducing hidden properties

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Definition of adjacent squares

$$\forall x,y,a,b \quad \text{Adjacent}([x,y],[a,b]) \Leftrightarrow [a,b] \in \{[x+1,y], [x-1,y],[x,y+1],[x,y-1]\}$$

Properties of squares:

$$\forall s,t \quad \text{At}(\text{Agent},s,t) \wedge \text{Breeze}(t) \Rightarrow \text{Breezy}(s)$$

Squares are breezy near a pit:

Diagnostic rule (诊断规则) — infer cause from effect

$$\forall s \quad \text{Breezy}(s) \Rightarrow \exists r \quad \text{Adjacent}(r,s) \wedge \text{Pit}(r)$$

Causal rule (因果规则) — infer effect from cause

$$\forall r,s \quad \text{Adjacent}(r,s) \wedge \text{Pit}(r) \Rightarrow \text{Breezy}(s)$$

Neither of these is complete — e.g., the causal rule doesn't say whether squares far away from pits can be breezy

Definition (定义) for the Breezy predicate:

$$\forall s \quad \text{Breezy}(s) \Leftrightarrow \exists r \quad \text{Adjacent}(r,s) \wedge \text{Pit}(r)$$

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Knowledge engineering (知识工程) in FOL

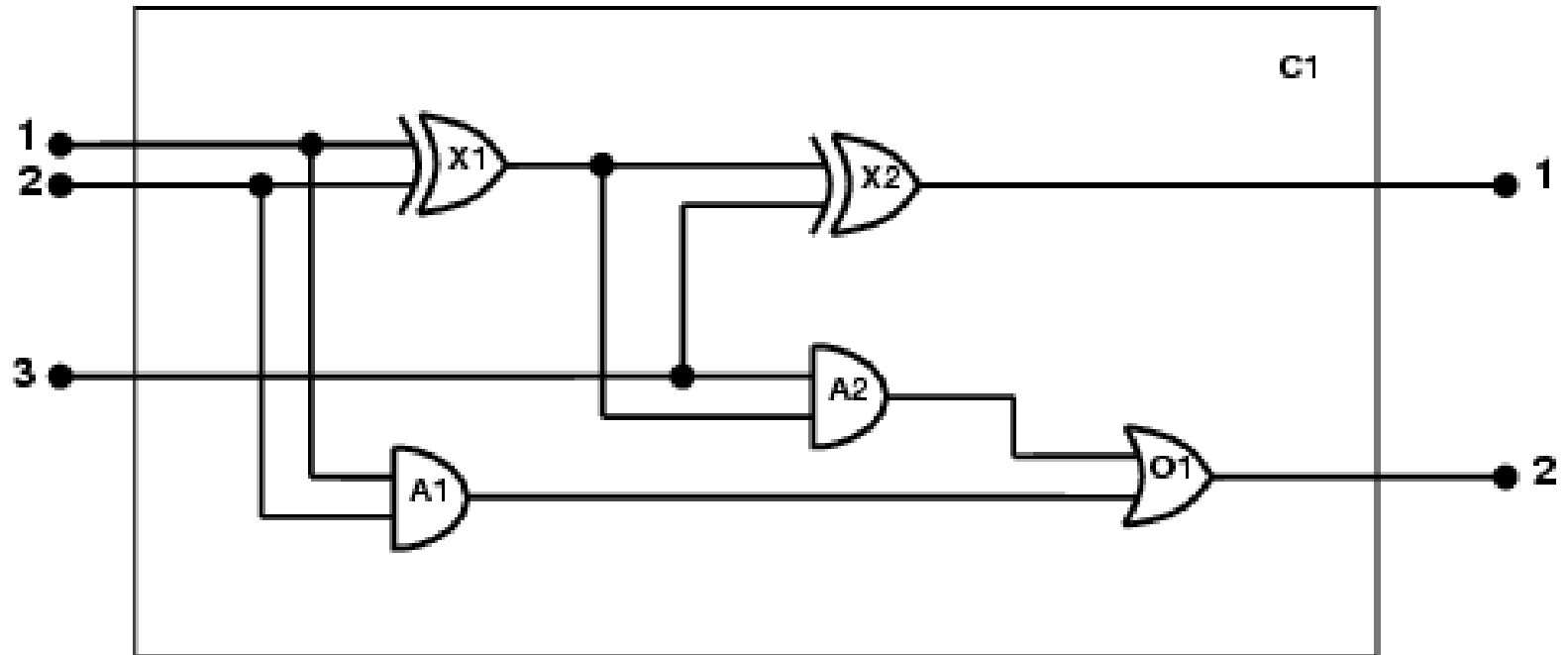
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1. **Identify the task**
确定任务
2. **Assemble the relevant knowledge**
搜集相关知识
3. **Decide on a vocabulary of predicates, functions, and constants**
确定谓词、函数和常量的词汇表
4. **Encode general knowledge about the domain**
对域的通用知识进行编码
5. **Encode a description of the specific problem instance**
对特定问题实例的描述进行编码
6. **Pose queries to the inference procedure and get answers**
把查询提交给推理过程并获取答案
7. **Debug the knowledge base**
调试知识库

The electronic circuits (电路) domain

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One-bit full adder (一位全加器)



最初的两个输入是需要相加的两位，第三个输入是一个进位。
第一个输出是和，第二个输出是下一个加法器的进位。

The electronic circuits domain

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1. Identify the task
 - Does the circuit actually add properly? (circuit verification)
2. Assemble the relevant knowledge
 - Composed of wires (导线) and gates (门) ; Types of gates (AND, OR, XOR, NOT)
 - Irrelevant: size, shape, color, cost of gates
3. Decide on a vocabulary (词汇表)
 - Alternatives:
Type(X_1) = XOR
Type(X_1 , XOR)
XOR(X_1)

The electronic circuits domain

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4. **Encode (编码) general knowledge of the domain**
- (1) 如果两个接线端是相连的, 那么它们具有相同的信号
$$\forall t_1, t_2 \text{ Connected}(t_1, t_2) \Rightarrow \text{Signal}(t_1) = \text{Signal}(t_2)$$
 - (2) 每个接线端的信号不是1就是0 (不可能两者都是)
$$\forall t \text{ Signal}(t) = 1 \vee \text{Signal}(t) = 0$$
$$1 \neq 0$$
 - (3) **Connected** 是一个可交换谓词
$$\forall t_1, t_2 \text{ Connected}(t_1, t_2) \Rightarrow \text{Connected}(t_2, t_1)$$
 - (4) 或门的输出为1, 当且仅当它的某一个输入为1
$$\forall g \text{ Type}(g) = \text{OR} \Rightarrow$$
$$\text{Signal}(\text{Out}(1, g)) = 1 \Leftrightarrow \exists n \text{ Signal}(\text{In}(n, g)) = 1$$
 - (5) 与门的输出为0, 当且仅当它的某一个输入为0
$$\forall g \text{ Type}(g) = \text{AND}$$
$$\Rightarrow \text{Signal}(\text{Out}(1, g)) = 0 \Leftrightarrow \exists n \text{ Signal}(\text{In}(n, g)) = 0$$
 - (6) 异或门的输出为1, 当且仅当它的输入是不相同的
$$\forall g \text{ Type}(g) = \text{XOR}$$
$$\Rightarrow \text{Signal}(\text{Out}(1, g)) = 1 \Leftrightarrow \text{Signal}(\text{In}(1, g)) \neq \text{Signal}(\text{In}(2, g))$$
 - (7) 非门的输出与它的输入相反
$$\forall g \text{ Type}(g) = \text{NOT} \Rightarrow \text{Signal}(\text{Out}(1, g)) \neq \text{Signal}(\text{In}(1, g))$$

The electronic circuits domain

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5. Encode the specific problem instance

首先对门加以分类

Type(X_1) = XOR Type(X_2) = XOR

Type(A_1) = AND Type(A_2) = AND

Type(O_1) = OR

其次说明门与门之间的连接

Connected(Out(1, X_1),In(1, X_2))

Connected(Out(1, X_1),In(2, A_2))

Connected(Out(1, A_2),In(1, O_1))

Connected(Out(1, A_1),In(2, O_1))

Connected(Out(1, X_2),Out(1, C_1))

Connected(Out(1, O_1),Out(2, C_1))

Connected(In(1, C_1),In(1, X_1))

Connected(In(1, C_1),In(1, A_1))

Connected(In(2, C_1),In(2, X_1))

Connected(In(2, C_1),In(2, A_1))

Connected(In(3, C_1),In(2, X_2))

Connected(In(3, C_1),In(1, A_2))

The electronic circuits domain

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6. Pose queries to the inference procedure—把查询提交给推理过程

What are the possible sets of values of all the terminals for the adder circuit?

对于1位全加器有哪些可能的输入与输出组合?

$$\exists i_1, i_2, i_3, o_1, o_2 \quad \text{Signal}(\text{In}(1, C_1)) = i_1 \wedge \text{Signal}(\text{In}(2, C_1)) = i_2 \wedge \text{Signal}(\text{In}(3, C_1)) = i_3 \wedge \\ \text{Signal}(\text{Out}(1, C_1)) = o_1 \wedge \text{Signal}(\text{Out}(2, C_1)) = o_2$$

7. Debug the knowledge base

May have omitted assertions like $1 \neq 0$

对异或门(XOR)尤其重要:

$$\text{Signal}(\text{Out}(1, X_1)) = 1 \Leftrightarrow \text{Signal}(\text{In}(1, X_1)) \neq \text{Signal}(\text{In}(2, X_1))$$

Summary

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命题逻辑只是对事物的存在进行限定，而一阶逻辑对于对象和关系的存在进行限定，因而获得更强的表达能力。

First-order logic:

- objects and relations are semantic primitives (基本)
- syntax: constants, functions, predicates, equality, quantifiers
 - 语句的真值由一个模型和对句子符号的解释来判定。

Increased expressive power: sufficient to define wumpus world

在一阶逻辑中开发知识库是一个细致的过程，包括对域进行分析、选择词汇表、对支持所需推理必不可少的公理进行编码。

作业

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□ 8.6, 8.15 (第二版) = 8.24(a-k), 8.17 (第三版)